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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1453-001C Total Pages 38

First Named Inventor or Application Identifier

Philip M. Ginsberg

Express Mail Label No. RB534726995

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 25]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 6]
4. Oath or Declaration [Total Pages 2]
- a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08, 396,422 Filed on 02/28/95

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Small Entity Statement filed in prior application. Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:
- Remarks: Prior Appln. is assigned of record to Cantor Fitzgerald & Co., Inc. at Reel/Frame 6175/0568 (copy attached)

18. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME	James M. Bollinger, Esq.				
	HOPGOOD, CALIMAFDE, KALIL & JUDLOWE LLP				
ADDRESS	60 East 42nd Street				
CITY	New York	STATE	NY	ZIP CODE	10165
COUNTRY	U.S.	TELEPHONE	212-551-5000	FAX	212-949-2795

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<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="margin: 0; font-size: small;">Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB-09-12.</p>		<p style="margin: 0; font-weight: bold; font-size: small;">Complete if Known</p>													
<p style="margin: 0;">TOTAL AMOUNT OF PAYMENT (\$) 760.00</p>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;"></td> </tr> <tr> <td>Filing Date</td> <td></td> </tr> <tr> <td>First Named Inventor</td> <td>Philip M. Ginsberg</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Group / Art Unit</td> <td></td> </tr> <tr> <td>Attorney Docket No.</td> <td>1453-001C</td> </tr> </table>		Application Number		Filing Date		First Named Inventor	Philip M. Ginsberg	Examiner Name		Group / Art Unit		Attorney Docket No.	1453-001C
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METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																												
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <u>08-2776</u></p> <p>Deposit Account Name _____</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.16 at the Mailing of the Notice of Allowance</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139 130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215 55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>400</td><td>216 200</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>950</td><td>217 475</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1,510</td><td>218 755</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>2,060</td><td>228 1,030</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>310</td><td>219 155</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>310</td><td>220 155</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>270</td><td>221 135</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240 55</td><td>Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>1,320</td><td>241 660</td><td>Petition to revive - unintentional</td></tr> <tr><td>142</td><td>1,320</td><td>242 660</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>450</td><td>243 225</td><td>Design issue fee</td></tr> <tr><td>144</td><td>670</td><td>244 335</td><td>Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122 130</td><td>Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123 50</td><td>Petitions related to provisional applications</td></tr> <tr><td>126</td><td>240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>790</td><td>246 395</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td></tr> <tr><td>149</td><td>790</td><td>249 395</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td></tr> </tbody> </table> <p>Other fee (specify) _____</p> <p>Other fee (specify) _____</p>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65	Surcharge - late filing fee or oath	127	50	227 25	Surcharge - late provisional filing fee or cover sheet	139	130	139 130	Non-English specification	147	2,520	147 2,520	For filing a request for reexamination	112	920*	112 920*	Requesting publication of SIR prior to Examiner action	113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action	115	110	215 55	Extension for reply within first month	116	400	216 200	Extension for reply within second month	117	950	217 475	Extension for reply within third month	118	1,510	218 755	Extension for reply within fourth month	128	2,060	228 1,030	Extension for reply within fifth month	119	310	219 155	Notice of Appeal	120	310	220 155	Filing a brief in support of an appeal	121	270	221 135	Request for oral hearing	138	1,510	138 1,510	Petition to institute a public use proceeding	140	110	240 55	Petition to revive - unavoidable	141	1,320	241 660	Petition to revive - unintentional	142	1,320	242 660	Utility issue fee (or reissue)	143	450	243 225	Design issue fee	144	670	244 335	Plant issue fee	122	130	122 130	Petitions to the Commissioner	123	50	123 50	Petitions related to provisional applications	126	240	126 240	Submission of Information Disclosure Stmt	581	40	581 40	Recording each patent assignment per property (times number of properties)	146	790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	149	790	249 395	For each additional invention to be examined (37 CFR 1.129(b))
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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	James M. Bollinger Esq.	Reg. Number	32,555
Signature		Date	1/4/99
		Deposit Account User ID	

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